



Rabobank

June 2022

Questionnaire for Entities that have activities in Investment Property

Talk to the world's leading food and agribusiness bank

Rabobank Australia Limited
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Rabobank Australia Limited is a subsidiary of Rabobank Nederland¹ and is subject to supervision by the Dutch Central Bank (De Nederlandsche Bank N.V. or 'DNB') in addition to local, Australian supervision. The Dutch Central Bank has issued a mandatory *Policy rule on integrity in investment property operations*. This Policy Rule requires us to ascertain and assess the integrity policy of your entity in relation to your activities in investment property. We ask you, in your capacity as the Authorised Signatory to complete truthfully, sign duly and return this questionnaire (together with the Additional Customer Information Form) to us.

Based on the answers provided in the questionnaire we may ask you to provide further details or to supply supporting documents.

Section A Account Details

Account name

Country of residence

Customer number

 (used to log into Rabobank Online Savings Internet Banking)

Advisor name (if applicable)

Section B Questionnaire regarding activities in Investment Property

1. Does your entity have investment property either in Australia or in a country outside of Australia? 'Investment property' includes residential and commercial property that is not used for 'own use'.

- Yes, Australia Move to **Question 2**.
- Yes, Foreign Provide details under 'Additional information' of where the investment property is held and the purpose for having investment property in this other country. Then continue to **Question 2**.
- No The remaining questions in this questionnaire do not need to be answered, please sign the form on under the declaration section.

Please provide additional information below

2. (a) Have one or more of your entity's investment properties been rented out or leased in the previous 12 months?

- Yes Move to **subpart (b)** below.
- No Move to **Question 7**.

(b) Is there a valid tenancy agreement between the entity and the tenant?

- Yes Proceed to **Question 3**.
- No Provide details under 'Additional information' to specify how you ensure that the terms of the tenancy are adhered to by both parties. Then continue to **Question 3**.

Please provide additional information below

1. Rabobank means Coöperatieve Rabobank U.A. an entity incorporated in the Netherlands.

3. (a) Is the rental of your investment property or properties managed by a property management company?

- Yes Move to **subpart (b)** below.
- No Continue to **Question 4**.

(b) Is there a contract in place between the entity and the property management company?

- Yes Move to **Question 6**.
- No Provide details under 'Additional information' to specify how you ensure that the terms of the arrangement is adhered to by both parties. Then continue to **Question 4**.

Please provide additional information below

4. Does your entity perform a background check on the tenants prior to the start of their tenancy?

- Yes Specify under 'Additional information' the process undertaken to perform the background check. If this is done by a third party (i.e. real estate agent) on your behalf please provide details of the third party. Then continue to **Question 5**.
- No Specify under 'Additional information' why you have not performed a background check on your tenants. Then continue to **Question 5**.

Please provide additional information below

5. Please answer the following questions in relation to transactions relating to your entity's investment properties. This includes the receipt of rental income as well as outgoing payments made in relation to repairs and maintenance on the investment properties.

(a) Do the transactions go through a financial institution that is in the same country as your entity's country of registration?

- Yes Continue to **subpart (b)**.
- No Provide details under 'Additional information' why the transactions go through a financial institution in a different country. Then continue to **subpart (b)**.

(b) Do you engage in any cash transactions in relation to your investment properties?

- Yes Specify under 'Additional information' the procedures you have in place to review and track the cash transactions. Continue to **Question 6**.
- No Continue to **Question 6**.

Please provide additional information below

6. Does your entity have a process in place to review the transactions relating to its investment properties to ensure that they are reasonable?

- Yes Specify under 'Additional information' the process undertaken to perform this review. Continue to **Question 7**.
- No Provide details under 'Additional information' why you do not perform this reviews). Continue to **Question 7**.

Please provide additional information below

7. Does your entity have a person that is responsible for supervising compliance related matters (or example, a risk manager or a compliance officer)?

- Yes Continue to **Question 8**.
- No State under 'Additional information' why your entity has no such officer. Continue to **Question 8**.

Please provide additional information below

8. (a) Are the financial statements of your entity audited by a qualified auditor?

- Yes Move to **subpart (b)** below.
 No Provide reason in the additional information section, why an audit of your entity is not required.

(b) Has the external auditor issued a unqualified audit statement over the past 3 years?

- Yes Proceed to sign the form under the declaration section.
 No Specify details below under 'Additional information'.

Please provide additional information below

Privacy Notice

By signing this form, you understand and acknowledge that Rabobank Australia Limited ('Rabobank'), as a subsidiary of Cooperatieve Rabobank U.A. (Rabobank Nederland) will collect, use, hold, and disclose your personal data provided in this form in order to comply with its global regulatory obligations. Specifically, the Dutch Central Bank has issued a mandatory Policy rule on integrity in investment property operations which requires us to ascertain and assess the integrity policy of your entity in relation to your activities in investment property. We therefore require personal data to carry out the above purpose. If all the data we have requested in this form or otherwise in connection with this form, as well as throughout our ongoing relationship with you, is not provided, we may not be able to continue providing the service to you and may be required to close any account held by you.

Rabobank will only transfer and disclose the data in this form (including personal data) outside Australia to other members of the Rabobank Group for reporting and compliance purposes (including regulatory and legislative requirements of any Group member) and, if required by law, to government or regulatory bodies (including in The Netherlands and the European Union) which have authority over any members of the Rabobank Group.

The Privacy page on our website at www.rabobank.com.au contains our Privacy Policy. The Policy sets out in more detail how we collect, handle and use personal data in the course of our business. The Policy also contains information about your individual rights such as access to and correction of the personal data we hold and also about how you may complain to us or the Office of the Information Commissioner about a breach of your privacy and how we deal with such a complaint.

Section C Declaration

By signing below, you declare that:

- the information provided on this form (and on any enclosures) is true;
- you are authorised to disclose this information to Rabobank;
- that you will inform Rabobank immediately of any material changes to the information provided ;and
- that you give Rabobank consent to share this document, any enclosures and/or supporting documents with those entities and affiliates within the Rabobank Group.

I declare all information provided is accurate and complete.

Name of Signatory

Relationship to the account

Signature

Date

/ /

Return completed form and any documents to: **Rabobank Online Savings, Reply Paid 4715, Sydney NSW 2001** OR Email: kyc@rabobank.com
(NOTE: This is a Reply Paid mailbox)