



Rabobank

June 2022

Ultimate Beneficial Owner Form

Talk to the world's leading food and agribusiness bank

Rabobank Australia Limited

ABN 50 001 621 129 AFSL 234 700
Australian Credit Licence 234 700

For further assistance:

Phone: 1800 792 812

Email: kycau@rabobank.com

www.rabobank.com.au

Account name

Customer number

(used to log into Rabobank Online Savings Internet Banking)

Adviser name (if applicable)

Section A Ultimate Beneficial Owner (UBO) Details

Please provide updated information regarding each Ultimate Beneficial Owner.

Ultimate Beneficial Owner Definition: Any person who owns or controls 25% or more of the company/partnership/association/co-operative; or exercises 25% or more of the voting rights; or otherwise exercises effective control e.g. Company CEO/Company Directors/Partners.

Specify the full detail of each UBO below:

UBO 1

Title First Name Middle Name Surname

Residential Address

Suburb/Town

State

Postcode

Country

Date of Birth (DD/MM/YYYY) Position (e.g. Director, Shareholder)

% of ownership
(Shareholder only)

Identify verification

Please provide certified copy of an original Passport or Drivers Licence for the UBO

UBO 2

Title First Name Middle Name Surname

Residential Address

Suburb/Town

State

Postcode

Country

Date of Birth (DD/MM/YYYY) Position (e.g. Director, Shareholder)

% of ownership
(Shareholder only)

Identify verification

Please provide certified copy of an original Passport or Drivers Licence for the UBO

Account name

Customer number

UBO 3

Title	First Name	Middle Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Residential Address

Suburb/Town

<input type="text"/>	State	Postcode
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Country

Date of Birth (DD/MM/YYYY)	Position (e.g. Director, Shareholder)	% of ownership (Shareholder only)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Identify verification

Please provide certified copy of an original Passport or Drivers Licence for the UBO

UBO 4

Title	First Name	Middle Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Residential Address

Suburb/Town

<input type="text"/>	State	Postcode
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Country

Date of Birth (DD/MM/YYYY)	Position (e.g. Director, Shareholder)	% of ownership (Shareholder only)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Identify verification

Please provide certified copy of an original Passport or Drivers Licence for the UBO

Additional UBOs?

If there are more UBOs, please provide their details on a separate sheet.

Privacy Notice

By signing this form, you understand and acknowledge that Rabobank Australia Limited ('Rabobank') will collect, use, hold, and disclose your personal data provided in this form, and at any time during our relationship with you, to comply with our legal obligations.

Compliance with laws

Your personal data may be shared in order to comply with applicable laws such as the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth), and the Anti-Money Laundering and Counter-Terrorism Financing Rules Instrument 2007 (No. 1). This ensures your security and integrity as well as the security and integrity of the bank and the financial sector. We therefore require personal data to carry out the above purpose. If all the data we have requested in this form or otherwise in connection with this form, as well as throughout our ongoing relationship with you, is not provided, we may not be able to continue providing the service to you and may be required to close any account held by you.

Rabobank will only transfer and disclose the data in this form (including personal data) outside Australia to other members of the Rabobank Group for reporting and compliance purposes (including regulatory and legislative requirements of any Group member) and, if required by law, to government or regulatory bodies (including in The Netherlands and the European Union) which have authority over any members of the Rabobank Group.

The Privacy page on our website at www.rabobank.com.au contains our Privacy Policy. The Policy sets out in more detail how we collect, handle and use personal data in the course of our business. The Policy also contains information about your individual rights such as access to and correction of the personal data we hold and also about how you may complain to us or the Office of the Information Commissioner about a breach of your privacy and how we deal with such a complaint.

Account name

Customer number

Section B Authorisation and acknowledgement

This form requires signing by the Authorised Signatory or Adviser

I declare all information provided is accurate and complete.	
Name of Authorised Signatory or Adviser Relationship to the account	Relationship to the account
<input type="text"/>	<input type="text"/>
Signature	Date
<input type="text"/>	<input type="text" value="/ /"/>
Return completed form to: Rabobank, Reply Paid 4715, Sydney NSW 2001 (NOTE: This is a Reply Paid mailbox)	

Section C Checklist

Thank you for completing the customer information form.

To complete the process we now need you to send us the following documents:

- Completed and signed company review form (this form)
- Original certified copy of a Passport or Drivers License for the Authorised Signatory
- Original certified copy of a Passport or Drivers License for the Ultimate Beneficial Owner(s)

Section D Reference

Certified copy

Certified copy of a document must carry a statement from one of the below mentioned office holders. The statement of the certifier must include the name of the certifier, relevant qualification/title, date and should also be signed. The date of certification must not be greater than 6 months. In addition, it needs to confirm that the original document has been sighted and represents the named individual or for nonphotographic ID: "I certify that this is a true copy of the original document".

The authorised certifier must not be:

- The customer;
- Related to the customer e.g. their parent, child, brother, sister, aunt, uncle or cousin;
- The spouse or partner of the customer;
- A person who lives at the same address as the customer;
- A person involved in the business or entity.

The list of approved certifiers includes:

- Legal practitioners
- Justice of the Peace
- Notary public
- A member of the Institute of Chartered Accountants, CPA Australia or the National Institute of Accountants with 2 or more years of membership
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees
- Judge, Magistrates, Registrar, Deputy Registrar of a court
- Commonwealth court CEO
- Chiropractor, dentist, medical practitioner, nurse, optometrist, pharmacist, physiotherapist, psychologist or veterinary surgeon that are registered with a recognised professional body
- Patent or trademarks attorney that are registered with a recognised professional body
- A person who is in charge of a post office, or a permanent post office employee with two or more years' of continuous service
- An Australian consular or diplomatic officer
- A member of the Commonwealth Parliament, State/Territory Parliament Legislative or local government authority Police officer
- Teacher employed on a full time basis at a school or tertiary education institution.