

Questionnaire for Individuals that have activities in investment property

Rabobank Australia Limited is a subsidiary of Rabobank Nederland¹ and is subject to supervision by the Dutch Central Bank (De Nederlandsche Bank N.V. or 'DNB') in addition to local, Australian supervision. The Dutch Central Bank has issued a mandatory *Policy rule on integrity in investment property operations*. This Policy Rule requires us to ascertain and assess the integrity policy of your entity in relation to your activities in investment property.

We ask you to complete truthfully, sign duly and return this questionnaire (together with the additional answers) to us.

Based on the answers provided in the questionnaire we may ask you to provide further details or to supply supporting documents.

Your data

Name: _____

Country of residence: _____

Client Number (if applicable): _____

Questionnaire regarding activities in investment property

#	Question
1	<p>Do you have investment property either in Australia or in a country outside of Australia?</p> <p>'Investment property' includes residential and commercial property that is not used for 'own use'.</p> <p><input type="checkbox"/> Yes - Australia <i>Move to question 2.</i></p> <p><input type="checkbox"/> Yes – Foreign <i>Provide details under 'Additional information' of where the investment property is held and the purpose for having investment property in this other country. Then continue to question 2.</i></p> <p><input type="checkbox"/> No <i>The remaining questions in this questionnaire do not need to be answered, please sign the form on under the declaration section.</i></p> <p>Additional information:</p>
2	<p>a) Have one or more of your investment properties been rented out in the previous 12 months?</p> <p><input type="checkbox"/> Yes <i>Move to subpart (b) below.</i></p> <p><input type="checkbox"/> No <i>The remaining questions in this questionnaire do not need to be answered, please sign the form on under the declaration section.</i></p> <p>b) Is there a valid tenancy agreement between you and the tenant?</p> <p><input type="checkbox"/> Yes <i>Proceed to question 3.</i></p> <p><input type="checkbox"/> No <i>Provide details under 'Additional information' to specify how you ensure that the terms of the tenancy are adhered to by both parties. Then continue to question 3.</i></p>

¹ Rabobank means CoöperatieveRabobank U.A. an entity incorporated in the Netherlands



	Additional information:
3	<p>a) Is the rental of your investment property or properties managed by a property management company?</p> <p><input type="checkbox"/> Yes <i>Move to subpart (b) below.</i></p> <p><input type="checkbox"/> No <i>Continue to question 4.</i></p> <p>b) Is there a valid agreement between you and the property management company?</p> <p><input type="checkbox"/> Yes <i>The remaining questions in this questionnaire do not need to be answered, please sign the form on under the declaration section.</i></p> <p><input type="checkbox"/> No <i>Provide details under 'Additional information' to specify how you ensure that the terms of the arrangement is adhered to by both parties. Then continue to question 4.</i></p> <p>Additional information:</p>
4	<p>Do you perform a background check on your tenants prior to the start of their tenancy?</p> <p><input type="checkbox"/> Yes <i>Specify under 'Additional information' the process undertaken to perform the background check. If this is done by a third party (i.e. real estate agent) on your behalf please provide details of the third party. Then continue to question 5.</i></p> <p><input type="checkbox"/> No <i>Specify under 'Additional information' why you have not performed a background check on your tenants. Then continue to question 5.</i></p> <p>Additional information:</p>



5	<p>Please answer the following questions in relation to transactions relating to your investment properties. This includes the receipt of rental income as well as outgoing payments made in relation to repairs and maintenance on the investment properties.</p> <p>a) Do the transactions go through a financial institution that is in the same country as your country of residence?</p> <p><input type="checkbox"/> Yes <i>Continue to subpart (b).</i></p> <p><input type="checkbox"/> No <i>Provide details under 'Additional information' why the transactions go through a financial institution in a different country. Then continue to subpart (b).</i></p> <p>b) Do you engage in any cash transactions in relation to your investment properties?</p> <p><input type="checkbox"/> Yes <i>Specify under 'Additional information' the procedures you have in place to review and track the cash transactions. Proceed to sign the form under the declaration section.</i></p> <p><input type="checkbox"/> No <i>Proceed to sign the form under the declaration section.</i></p> <p>Additional information:</p>
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Privacy Notice

Rabobank Australia Limited (Rabobank), as a subsidiary of Coöperatieve Rabobank U.A. (Rabobank Nederland), is required to collect information requested in this form (and any further details or supporting documents) in order to comply with its global regulatory obligations. Personal information collected in relation to this form may be disclosed to Rabobank Nederland and other entities and affiliates of the Rabobank Group.

If you would like further information about how Rabobank handles the personal information it collects, please refer to the Rabobank privacy policy available at: www.rabobank.com.au. The privacy policy also contains information on how to seek access to or correct personal information Rabobank holds and how to make a privacy-related complaint.

Declaration

By signing below, you declare that:

- the information provided on this form (and on any enclosures) is true;
- you are authorised to disclose this information to Rabobank;
- that you will inform Rabobank immediately of any material changes to the information provided ;and
- that you give Rabobank consent to share this document, any enclosures and/or supporting documents with those entities and affiliates within the Rabobank Group.

Name: _____

Date: _____

Signature: _____