



Rabobank

Ultimate Beneficial Owner Form

Rabobank Australia Limited
ABN 50 001 621 129 AFSL 234 700

For further assistance,
please call 1800 792 812

www.rabobank.com.au

Ultimate Beneficial Owner (UBO) Details

Ultimate Beneficial Owners Details: Please provide updated information regarding each Ultimate Beneficial Owner.

Ultimate Beneficial Owner Definition:

Any person who owns or controls 25% or more of the company/partnership/association/co-operative; or exercises 25% or more of the voting rights; or otherwise exercises effective control e.g. Company CEO/Company Directors/Partners.

Specify the full detail of each UBO below:

UBO 1

UBO full name

Title	First name	Middle name	Last name
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Residential address

Street address 1		
Street address 2		
Suburb/Town	State	Postcode
Country		

Date of birth

Position:

% of ownership (Shareholder only)

Identify verification

Please provide certified copy of an original Passport or Drivers Licence for the UBO

UBO 2

UBO full name

Title	First name	Middle name	Last name
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Residential address

Street address 1		
Street address 2		
Suburb/Town	State	Postcode
Country		

Date of birth

Position:

% of ownership (Shareholder only)

Identify verification

Please provide certified copy of an original Passport or Drivers Licence for the UBO

UBO 3

UBO full name

Title	First name	Middle name	Last name
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Residential address

Street address 1		
Street address 2		
Suburb/Town	State	Postcode
Country		

Date of birth

Position:

% of ownership (Shareholder only)

Identify verification

Please provide certified copy of an original Passport or Drivers Licence for the UBO

UBO 4

UBO full name

Title	First name	Middle name	Last name
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Residential address

Street address 1		
Street address 2		
Suburb/Town	State	Postcode
Country		

Date of birth

Position:

% of ownership (Shareholder only)

Identify verification

Please provide certified copy of an original Passport or Drivers Licence for the UBO

Additional UBOs?

If there are more UBOs, please provide their details on a separate sheet.

Privacy Notice

Rabobank is required to collect the information requested in this form in order to comply with its obligations under law, including its obligations under the Anti-Money Laundering and Counter Terrorism Financing Act 2006 (Cth). Personal information collected may be disclosed overseas to the Netherlands. If you would like further information about how Rabobank handles the personal information it collects, please refer to the RaboDirect privacy policy available at: www.rabobank.com.au. The privacy policy also contains information on how to seek access to or correct personal information Rabobank holds and how to make a privacy-related complaint.

Section B

Authorisation and acknowledgement

This form requires signing by the Authorised Signatory.

I declare all information provided is accurate and complete.	
Name of Authorised Signatory	
<input type="text"/>	
Signature	
<input type="text"/>	
Date	Your position
<input type="text"/>	

Return completed form to:	Rabobank Reply Paid 4715 SYDNEY NSW 2001
NOTE: This is a Reply Paid mailbox.	

Section C

Checklist

Thank you for completing the customer information form.

To complete the process we now need you to send us the following documents:

- Completed & signed company review form (this form)
- Original certified copy of a Passport or Drivers License for the Authorised Signatory
- Original certified copy of a Passport or Drivers License for the Ultimate Beneficial Owner(s)

Section D

Reference

Certified copy

Certified copy of a document must carry a statement from one of the below mentioned office holders. The statement of the certifier must include the name of the certifier, relevant qualification/title, date and should also be signed. The date of certification must not be greater than 6 months. In addition, it needs to confirm that the original document has been sighted and represents the named individual or for non-photographic ID: "I certify that this is a true copy of the original document".

The authorised certifier must not be:

- The customer;
- Related to the customer e.g. their parent, child, brother, sister, aunt, uncle or cousin;
- The spouse or partner of the customer;
- A person who lives at the same address as the customer;
- A person involved in the business or entity.

The list of approved certifiers includes:

- Legal practitioners
- Justice of the Peace
- Notary public
- A member of the Institute of Chartered Accountants, CPA Australia or the National Institute of Accountants with 2 or more years of membership
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees
- Judge, Magistrates, Registrar, Deputy Registrar of a court
- Commonwealth court CEO
- Chiropractor, dentist, medical practitioner, nurse, optometrist, pharmacist, physiotherapist, psychologist or veterinary surgeon that are registered with a recognised professional body
- Patent or trademarks attorney that are registered with a recognised professional body
- A person who is in charge of a post office, or a permanent post office employee with two or more years' of continuous service
- An Australian consular or diplomatic officer
- A member of the Commonwealth Parliament, State/Territory Parliament Legislative or local government authority Police officer
- Teacher employed on a full time basis at a school or tertiary education institution